

# **Document made available under the Patent Cooperation Treaty (PCT)**

International application number: PCT/US05/010631

International filing date: 31 March 2005 (31.03.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/558,052  
Filing date: 31 March 2004 (31.03.2004)

Date of receipt at the International Bureau: 06 May 2005 (06.05.2005)

Remark: Priority document submitted or transmitted to the International Bureau in  
compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

1314456

UNITED STATES GOVERNMENT

TO AND TO WHOM IT MIGHT CONCERN:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

April 27, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM  
THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT  
APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE.

APPLICATION NUMBER: 60/558,052

FILING DATE: *March 31, 2004*

RELATED PCT APPLICATION NUMBER: *PCT/US05/10631*



Certified by

Under Secretary of Commerce  
for Intellectual Property  
and Director of the United States  
Patent and Trademark Office

Old S. U. S. C. O.  
6179  
033104IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PROVISIONAL APPLICATION COVER SHEET70377 U.S.PTO  
60/558052

033104

Mail Stop Provisional Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

(A) The attached document is filed as a Provisional Application for patent under 37 CFR 1.53 (c)(1).

(B) Inventors (*including city and state or foreign country of residence, for each*)  
 or alphanumeric identifier:David Hobson, Lubrizol Limited, P.O. Box 88, Belper, Derbyshire, DE56 1QN EnglandBenjamin Boulay, Lubrizol Limited, P.O. Box 88, Belper, Derbyshire, DE56 1QN EnglandAlexander F. Psaila, Lubrizol Limited, P.O. Box 88, Belper, Derbyshire, DE56 1QN England(C) Title: High Solid Content Dispersions(D) Attorney/Agent: Samuel B. Laferty Registration No. 31,537(E) Docket Number: 3345(F) Send Correspondence to: Docket Clerk  
 Patent Department/Mail Drop 022B  
 The Lubrizol Corporation  
 29400 Lakeland Blvd.  
 Wickliffe, Ohio 44092-2298

(G) Fees: Charge the fee for filing of a provisional application, believed to be \$170.00, to Deposit Account No. 12-2275 (The Lubrizol Corporation). Any deficiency or overpayment in fees should be charged or credited to the same account.

(H) 20 Pages of Specification      2 Pages of Claims  
0 Pages of Drawings      1 Pages of Abstract

(I) A duplicate of this Cover Sheet is attached.

Registration No. 31,537  
 Telephone: 440-347-1541  
 Facsimile: 440-347-1110

Samuel B. Laferty  
 Attorney for Applicant  
Samuel B. Laferty  
 Signature  
March 31, 2004  
 Date

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EU381348074US in an envelope addressed to: Mail Stop Provisional Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on March 31, 2004.

By: Nancy S. Dedek  
 Nancy S. Dedek

Date: 3-31-04

TITLE**HIGH SOLID CONTENT DISPERSIONS**

5

FIELD OF INVENTION

[0001] The present invention relates to a composition containing a metal base; a surfactant; an organic medium containing less than about 2 wt % of water; and optionally a carboxylic acid. The invention further provides a process for making the composition and a method for its use.

10

BACKGROUND OF THE INVENTION

[0002] It is well known to prepare a dispersion containing a metal base that is normally insoluble in an oil of lubricating viscosity such as lithium hydroxide. The dispersion containing the metal base has a low solids content (i.e. the amount of metal base in the dispersion) typically up to about 10 wt %.  
15 A dispersion of this type with a solids content greater than about 10 wt % are unstable without the presence of a large amount of surfactant to stabilise the dispersion against the metal base dropping out and forming sediment. Also a low solids dispersion contains a large amount of a carrier medium (often an oil of lubricating viscosity) and this makes transportation, storage, and dispensing  
20 of said dispersion difficult due to the volume of the medium. Furthermore, this makes the dispersion less environmentally friendly and expensive.

[0003] International Publication WO 03/044138 discloses a composition containing an oil of lubricating viscosity, at least one emulsifier capable of forming a water-in-oil emulsion, a base and optionally an oil insoluble solvent.  
25 The base includes metal salt of a hydroxide, a carbonate, a bicarbonate or an amine salt of an organic acid. The composition does not disclose a dispersion with a high solids content. Furthermore the dispersion is suitable for marine lubricants.

[0004] US patent 2,434,539 discloses that a strong metal hydroxide may be  
30 made more reactive to high molecular weight organic fatty acids by heating the metal hydroxide crystals in the presence of a liquid hydrocarbon to a

temperature and for a sufficient time to drive off all water of crystalisation i.e. at a temperature above 107°C.

[0005] US patent 2,394,907 discloses suspending an alkali or other saponification agent in a non-reactive liquid medium and mechanically 5 comminuting the alkali in oil until a predominant portion of the particles of alkali is as low as 5 micrometres in size. The resultant alkali is then used to make grease.

[0006] US patent 4,075,234 relates to grease manufacture using a concentrated aqueous solution of lithium hydroxide in a liquid reaction mixture 10 comprising an alkyl nitrile.

[0007] US patent 4,337,209 relates to a method of preparing soap and greases by reacting an organic carboxylic acid, its esters and mixtures thereof with a concentrated aqueous solution of alkali metal hydroxide in the presence of an inorganic salt, in a liquid reaction medium comprising acetone. The 15 presence of the inorganic salt increases the yield of the soap or grease.

[0008] US patent 5,236,607 relates to a process for preparing a lithium soap thickened grease which consists of heating a mixture of oil and a lithium base to at least 100°C, then heating the resulting mixture at a temperature in the range of 110°C to 200°C until a thickened grease is obtained. After the grease 20 is formed it is subjected to a homogenization/milling process resulting in a smooth grease.

[0009] It would be desirable to have a dispersion composition with a high solids content. The present invention provides a dispersion composition capable of providing a composition with a high solids content.

25 [0010] It would be desirable to have a dispersion composition with a high solids content capable of being used as a thickener for grease manufacture. The present invention provides a dispersion composition capable of being used as a thickener for grease manufacture.

30 [0011] It would be desirable to have a dispersion composition with a small particle size with a high solids content and with a low viscosity. The present invention provides a dispersion composition with a small particle size with a high solids content and with a low viscosity.

## SUMMARY OF THE INVENTION

[0012] The present invention provides a composition comprising a dispersion of:

- (a) a metal base selected from the group consisting of:
  - 5 (i) a metal hydroxide;
  - (ii) (ii) a metal base other than a metal hydroxide; and
  - (iii) mixtures thereof;
- (b) a surfactant; and
- (c) an organic medium containing less than about 2 wt % of water,
  - 10 wherein said metal base is present in at a solids content greater than about 51 wt % of the composition when the base is a metal hydroxide and at a solids content of greater than 15 wt % when said metal base is other than a metal hydroxide or is a mixture.

[0013] The invention further provides a process for preparing a composition comprising the steps of:

- (1) mixing (a) a metal base; (b) a surfactant and (c) an organic medium containing less than about 2 wt % of water to form a slurry;
- (2) grinding the slurry of step (1) to form a dispersion;
- (3) optionally heating the dispersion of step (2) to a temperature to
  - 20 about 40°C to about 190°C to form a finer dispersion;
- (4) optionally reacting the dispersion of steps (2) or (3) with a carboxylic acid containing about 2 to about 30 carbon atoms, wherein the carboxylic acid is a monocarboxylic acid, a polycarboxylic acid or mixtures thereof, and optionally the carboxylic acid is further substituted with groups
  - 25 selected from a hydroxyl group, an ester and mixtures thereof.

## DETAILED DESCRIPTION OF THE INVENTION

[0014] The present invention provides a composition comprising a dispersion of:

- (a) a metal base selected from the group consisting of:
  - 30 (i) a metal hydroxide;
  - (ii) a metal base other than a metal hydroxide; and
  - (iii) mixtures thereof;

(b) a surfactant; and

(c) an organic medium containing less than about 2 wt % of water,  
wherein said metal base is present in at a solids content greater  
than about 51 wt % of the composition when the base is a metal  
hydroxide and at a solids content of greater than 15 wt % when  
said metal base is other than a metal hydroxide or is a mixture.

5 [0015] In some embodiments the presence of mixtures of metal hydroxides  
and metal bases other than metal hydroxides only requires a solid content of  
greater than 15 wt.%, this is especially true if the metal base other than  
10 hydroxide is present in the major amount. In other embodiments the presence of  
any metal hydroxide (a mixture) with a metal base other than metal hydroxide  
will trigger the greater than 51 wt.% solids requirement, this is especially true  
when the metal hydroxide is present in the major amount of the two components.  
The dispersion of components (a)-(c) above, containing the metal base other than  
15 a metal hydroxide with a solids content greater than about 15 wt % of the  
composition, in another embodiment about 35 wt % of the composition, in another  
embodiment greater than about 45 wt % of the composition, in another  
embodiment greater than about 48 wt % of the composition, in another  
embodiment greater than about 50 wt % of the composition, in another  
20 embodiment greater than about 52 wt % of the composition, in another  
embodiment greater than about 55 wt % of the composition and in yet another  
embodiment greater than about 60 wt % of the composition.

[0016] The dispersion when derived from a metal hydroxide has a solids  
content of greater than about 51 wt % of the composition, in another embodiment  
25 about 53 wt % of the composition, in another embodiment greater than about 55  
wt % of the composition, and in yet another embodiment greater than about 58  
wt % of the composition.

[0017] The solids content of the dispersion generally has no upper limit  
except the maximum amount that the organic medium containing less than about  
30 2 wt % of water can hold and examples include up to about 90 wt % of the  
composition, in another embodiment about 86 wt % of the composition and in  
another embodiment about 84 wt % of the composition. Examples of suitable

ranges include about 52 wt % to about 90 wt % of the composition, in another embodiment about 55 wt % to about 84 wt % of the composition and in yet another embodiment about 60 wt % to about 84 wt % of the composition. The amount of metal base present in the composition is determined by the desired  
5 solid content.

[0018] In one embodiment the composition is substantially free of an oil insoluble solvent. Examples of an oil insoluble solvent include water, alcohol or mixtures thereof. By substantially free the composition contains less than about 2 wt % of an oil insoluble solvent other than water of hydration or free water  
10 derived from water of hydration, in another embodiment less than about 1 wt % of an oil insoluble solvent other than water of hydration, and in yet another embodiment less than about 0.1 wt % of an oil insoluble solvent other than water of hydration.

[0019] The viscosity of the dispersion as measured by TA Instruments AR  
15 500<sup>TM</sup> Rheometer using "cone on plate geometry" measured at about 40°C at 100 s<sup>-1</sup> includes ranges from about 0.001 Pa s to about 20 Pa s, in another embodiment about 0.003 Pa s to about 5 Pa s, in another embodiment about 0.005 Pa s to about 2 Pa s and in yet another embodiment in another embodiment about 0.005 Pa s to about 1 Pa s.

20 **Metal Base**

[0020] The dispersion of the metal base is a mono- or di- or tri- valent metal or a mixture thereof. In one embodiment the metal base is derived from a monovalent metal including lithium, potassium, sodium, cerium, copper, zinc, or mixtures thereof. In one embodiment the metal base is derived from a  
25 divalent metal including magnesium, calcium, barium or mixtures thereof. The metal may also have multiple valence e.g. mono- or di- or tri- valent with copper or iron as examples. The metal base optionally contains water of hydration.

[0021] The metal base includes those in the form of M(Q)<sub>1-3</sub>.xH<sub>2</sub>O, wherein  
M is a mono- or di- or tri- valent metal ion; "1-3" means 1, 2, or 3 Q groups  
30 wherein Q includes a hydroxyl; a carbonate, an oxide, a sulphate, a carboxylate (examples include acetate, propionate, oxalate, citrate, succinate, or mixtures thereof), borate or phosphate or mixtures thereof; and x is a fraction in the

range 0 to 8, in another embodiment 0 to about 4 and in yet another embodiment 0 to about 2. In one embodiment the metal base is a monohydrate, in another embodiment the metal base is a dihydrate and in yet another embodiment the metal base is anhydrous.

5 [0022] When  $x=1$  the metal base is in the form of the monohydrate. When  $x$  is greater than zero and less than 1, the metal base is partially, substantially or wholly anhydrous. Partially anhydrous metal base includes ranges of  $x$  from about 0.9 to about 0.5, in another embodiment about 0.85 to about 0.55 and in another embodiment about 0.6 to about 0.7. Substantially anhydrous metal  
10 base includes  $x$  less than about 0.5, in another embodiment less than about 0.3, in another embodiment about 0.1 but greater than about 0.02. Wholly anhydrous metal base has  $x$  in the range about 0.02 to about 0, in another embodiment  $x$  is about 0.01 to about 0 and in another embodiment  $x$  is about 0.

[0023] In one embodiment the metal base is in the form of a solid and is not appreciably soluble in the organic medium containing less than about 2 wt % of water. In one embodiment the metal base has a mean particle size in the dispersion in the range of about 20 nanometres to about 40 micrometres, in another embodiment about 30 nanometres to about 20 micrometres, in another embodiment about 50 nanometres to about 15 micrometres and in yet another embodiment about 200 nanometres to about 8 micrometres.  
15  
20

[0024] Examples of suitable ranges include those with a mean particle size in the dispersion in the range of about 3 nanometres to about 5 micrometres, in another embodiment about 5 nanometres to about 2 micrometres, in another embodiment about 10 nanometres to about 1.5 micrometres, in another embodiment about 15 nanometres to about 1 micrometre, in another embodiment about 20 to about 600 nanometres, in another embodiment about 50 to about 550 nanometres and in yet another embodiment about 75 to about 500 nanometres.  
25

[0025] Examples of a suitable metal base include anhydrous lithium hydroxide, lithium hydroxide monohydrate, magnesium hydroxide, calcium hydroxide, lithium carbonate, calcium carbonate, copper acetate, magnesium carbonate, calcium oxide, magnesium oxide, lithium oxide, cerium oxide, iron  
30

oxide or mixtures thereof. In one embodiment of the invention the metal base is present in a mixture, for instance dolmitic lime which is commercially available.

Surfactant

5 [0026] The surfactant includes an ionic (cationic or anionic) or non-ionic compound. Suitable surfactant compounds include those with a hydrophilic lipophilic balance (HLB) of up to about 20, in another embodiment about 1 to about 18, in another embodiment about 2 to about 16 and in yet another embodiment about 2.5 to about 15. In one embodiment the HLB includes about  
10 11 to about 14 or in another embodiment less than about 10 such as about 1 to about 8, or about 2.5 to about 6. Those skilled in the art will appreciate that combinations of surfactants may be used with individual HLB values outside of these ranges, provided that the composition of a final surfactant blend is within these ranges. When the surfactant has an available acidic group, the surfactant  
15 may become the metal salt of the acidic group and where the metal is derived from the metal base.

[0027] Examples of these surfactants suitable for the invention are disclosed in McCutcheon's Emulsifiers and Detergents, 1993, North American & International Edition. Generic examples include alkanolamides, alkylarylsulphonates, amine oxides, poly(oxyalkylene) compounds, including block copolymers comprising alkylene oxide repeat units (e.g., Pluronic<sup>TM</sup>), carboxylated alcohol ethoxylates, ethoxylated alcohols, ethoxylated alkyl phenols, ethoxylated amines and amides, ethoxylated fatty acids, ethoxylated fatty esters and oils, fatty esters, glycerol esters, glycol esters, imidazoline derivatives, lecithin and derivatives, lignin and derivatives, monoglycerides and derivatives, olefin sulphonates, phosphate esters and derivatives, propoxylated and ethoxylated fatty acids or alcohols or alkyl phenols, sorbitan derivatives, sucrose esters and derivatives, sulphates or alcohols or ethoxylated alcohols or fatty esters, polyisobutylene succinimide and derivatives, sulphonates of dodecyl and tridecyl benzenes or condensed naphthalenes or petroleum, sulphosuccinates and derivatives, and a hydrocarbyl substituted benzene sulphonic acid.

[0028] In one embodiment the surfactant is a hydrocarbyl substituted benzene sulphonic acid or sulphonate of an alkali metal, alkaline earth metal or mixtures thereof. The hydrocarbyl (especially an alkyl) group includes those with about 8 to about 30 carbon atoms, in another embodiment about 10 to about 26 carbon atoms and in another embodiment about 10 to about 15 carbon atoms. In one embodiment the surfactant is a mixture of C<sub>12</sub> to C<sub>15</sub> alkylbenzene sulphonic acids. The alkali metal includes lithium, potassium or sodium; and the alkaline earth metal includes calcium or magnesium. In one embodiment the alkali metal is sodium. In one embodiment the alkaline earth metal is calcium.

10 [0029] In one embodiment the surfactant is a derivative of a polyolefin. Typical examples of a polyolefin include polyisobutene; polypropylene; polyethylene; a copolymer derived from isobutene and butadiene; a copolymer derived from isobutene and isoprene; or mixtures thereof.

[0030] In one embodiment the polyolefin is a derivative of polyisobutene with a number average molecular weight of at least about 250, 300, 500, 600, 700, or 800, to 5000 or more, often up to about 3000, 2500, 1600, 1300, or 1200. Typically, less than about 5% by weight of the polyisobutylene used to make the derivative molecules have  $\overline{M_n}$  less than about 250, more often the polyisobutylene used to make the derivative has  $\overline{M_n}$  of at least about 800. The polyisobutylene used to make the derivative preferably contains at least about 30% terminal vinylidene groups, more often at least about 60% and more preferably at least about 75% or about 85% terminal vinylidene groups. The polyisobutylene used to make the derivative may have a polydispersity,  $\overline{M_w}/\overline{M_n}$ , greater than about 5, more often from about 6 to about 20.

25 [0031] In one embodiment, the polyisobutene is substituted with succinic anhydride, the polyisobutene substituent having a number average molecular weight of about 1,500 to about 3,000, in another embodiment about 1,800 to about 2,300, in another embodiment about 700 to about 1300, in another embodiment about 800 to about 1000, said first polyisobutene-substituted 30 succinic anhydride being characterised by about 1.3 to about 2.5, and another embodiment about 1.7 to about 2.1. In one embodiment, the hydrocarbyl-

substituted carboxylic acid acylating agent is a polyisobutene-substituted succinic anhydride, the polyisobutene substituent having a number average molecular weight of about 1,500 to about 3,000, and in another embodiment about 1,800 to about 2,300, said first polyisobutene-substituted succinic  
5 anhydride being characterised by about 1.3 to about 2.5, and in another embodiment about 1.7 to about 2.1, in another embodiment about 1.0 to about 1.3, and in yet another embodiment about 1.0 to about 1.2 succinic groups per equivalent weight of the polyisobutene substituent.

[0032] In one embodiment the surfactant is polyisobutetyl-dihydro-2,5-furandione ester with pentaerythritol or mixtures thereof. In one embodiment the surfactant is a polyisobutylene succan derivative such as a polyisobutylene succinimide or derivatives thereof. In one embodiment the surfactant is substantially free to free of a basic nitrogen.  
10

[0033] Other typical derivatives of polyisobutylene succans include hydrolysed succans, esters or diacids. Polyisobutylene succan derivatives are preferred to make the metal base dispersions. A large group of polyisobutylene succan derivatives are taught in US 4,708,753, US 4,234,435 and herein incorporated by reference.  
15

[0034] The amount of the surfactant to form the metal base dispersion includes about 0.01 wt % to about 60 wt % of the composition, in another embodiment about 0.05 wt % to about 35 wt % of the composition, in another embodiment about 0.1 wt % to about 30 wt % of the composition and in yet another embodiment about 0.2 wt % to about 25 wt % of the composition.  
20

#### Organic Medium Containing Less Than About 2 wt % of Water

[0035] The organic medium containing less than about 2 wt % of water includes an oil of lubricating viscosity, a liquid fuel, a hydrocarbon solvent or mixtures thereof. In one embodiment the organic medium containing less than about 2 wt % of water is an oil of lubricating viscosity and in another embodiment the hydrocarbon solvent. In one embodiment the organic medium contains less than about 1 wt % water, in another embodiment less than about 0.5 and in another embodiment less than about 0.1 wt % of water.  
25  
30

[0036] The organic medium containing less than about 2 wt % of water is present in ranges including at up to about 85 wt % of the composition, in another embodiment up to about 75 wt % of the composition, in another embodiment up to about 60 wt % of the composition and in yet another 5 embodiment up to about 40 wt % of the composition. In one embodiment of the invention the organic medium containing less than about 2 wt % of water is present from about 60 wt % to about 90 wt % of the composition.

#### Oil of Lubricating Viscosity

[0037] The lubricating oil composition includes natural or synthetic oils of 10 lubricating viscosity, oil derived from hydrocracking, hydrogenation, hydrofinishing, unrefined, refined and re-refined oils or mixtures thereof.

[0038] Natural oils include animal oils, vegetable oils, mineral oils or mixtures thereof. Synthetic oils include a hydrocarbon oil, a silicon-based oil, a liquid ester of phosphorus-containing acid. Synthetic oils may be produced 15 by Fischer-Tropsch reactions and typically may be hydroisomerised Fischer-Tropsch hydrocarbons or waxes

[0039] Oils of lubricating viscosity may also be defined as specified in the American Petroleum Institute (API) Base Oil Interchangeability Guidelines. In one embodiment the oil of lubricating viscosity comprises an API Group I, II, 20 III, IV, V or mixtures thereof, and in another embodiment API Group I, II, III or mixtures thereof. If the oil of lubricating viscosity is an API Group II, III, IV or V oil there may be up to about 40 wt % and in another embodiment up to a maximum of about 5 wt % of the lubricating oil an API Group I oil.

#### Liquid Fuel

25 [0040] The fuel composition of the present invention comprises a liquid fuel and is useful in fueling an internal combustion engine. The liquid fuel is normally a liquid at ambient conditions. The liquid fuel includes a hydrocarbon fuel, a nonhydrocarbon fuel, or a mixture thereof. The hydrocarbon fuel may be a petroleum distillate to include a gasoline as defined by ASTM (American 30 Society for Testing and Materials) specification D4814 or a diesel fuel as defined by ASTM specification D975. In an embodiment of the invention the liquid fuel is a gasoline, and in another embodiment the liquid fuel is a leaded

gasoline, or a nonleaded gasoline. In another embodiment of this invention the liquid fuel is a diesel fuel. The hydrocarbon fuel includes a hydrocarbon prepared by a gas to liquid process for example hydrocarbons prepared by a process such as the Fischer-Tropsch process. The nonhydrocarbon fuel includes  
5 an oxygen containing composition (often referred to as an oxygenate), an alcohol, an ether, a ketone, an ester of a carboxylic acid, a nitroalkane, or a mixture thereof. The nonhydrocarbon fuel includes methanol, ethanol, methyl t-butyl ether, methyl ethyl ketone, transesterified oils and/or fats from plants and animals such as rapeseed methyl ester and soybean methyl ester, and  
10 nitromethane. Mixtures of hydrocarbon and nonhydrocarbon fuels include gasoline and methanol and/or ethanol, diesel fuel and ethanol, and diesel fuel and a transesterified plant oil such as rapeseed methyl ester. In an embodiment of the invention the liquid fuel is a nonhydrocarbon fuel, or a mixture thereof.

#### Carboxylic Acid

15 [0041] The composition of the invention optionally includes a carboxylic acid especially containing about 2 to about 30 carbon atoms, wherein the carboxylic acid is selected from a monocarboxylic acid, a polycarboxylic acid and mixtures thereof, and optionally the carboxylic acid is further substituted with groups selected from a hydroxyl group, an ester and mixtures thereof. In  
20 one embodiment the composition includes a carboxylic acid. In another embodiment the composition does not contain a carboxylic acid. When present the carboxylic acid is used as a thickener in the manufacture of a grease.

[0042] In one embodiment the carboxylic acid may also be used with other known thickening agents such as inorganic powders including clay, organo-  
25 clays, bentonite, fumed silica, calcite, carbon black, pigments, copper phthalocyanine or mixtures thereof.

[0043] The carboxylic acid may be any combination of a mono- or poly-carboxylic; branched alicyclic, or linear, saturated or unsaturated, mono- or poly- hydroxy substituted or unsubstituted carboxylic acid, acid chloride or the  
30 ester of said carboxylic acid with an alcohol such as an alcohol of about 1 to about 5 carbon atoms. The carboxylic acid includes those with about 2 to about 30 carbon atoms, in another embodiment about 4 to about 30 carbon atoms, in

another embodiment about 8 to about 27 carbon atoms, in another embodiment about 12 to about 24 carbon atoms and in yet another embodiment about 16 to about 20 carbon atoms. In one embodiment the carboxylic acid is a monocarboxylic acid or mixtures thereof. In one embodiment the carboxylic acid is a dicarboxylic acid or mixtures thereof. In one embodiment the carboxylic acid is an alkanoic acid. In one embodiment the carboxylic acid is a mixture of dicarboxylic acid and monocarboxylic acid typically in the weight percent ratio of about 99:1, 70:30, 50:50, 40:60, 35:65, 30:70, 25:75, 20:80, 15:85, 10:90, 5:95 or 1:99. Dicarboxylic acid compounds tend to be more expensive than a monocarboxylic acid and as a consequence, most industrial processes using mixtures use a ratio of dicarboxylic acid to monocarboxylic acid in the range about 30:70 or about 25:75 to about 20:80 or about 15:85.

[0044] In one embodiment the carboxylic acid is hydroxy substituted or an unsubstituted alkanoic acid. Typically, the carboxylic acids will have about 2 to about 30, in another embodiment about 4 to about 30, in another embodiment about 12 to about 24 and in yet another embodiment about 16 to about 20 carbon atoms. In one embodiment the carboxylic acid is a hydroxystearic acid or esters of these acids such as 9-hydroxy, 10-hydroxy or 12-hydroxy, stearic acid, and especially 12-hydroxy stearic acid. The monocarboxylic acid having this number of carbon atoms are generally associated with an HLB (hydrophile to lipophile balance) of about 10 or more, in another embodiment about 12 or more and in another embodiment about 15 or more when converted to their salt form.

[0045] Other suitable saturated carboxylic acid compounds include capric acid, lauric acid, myristic acid, palmitic acid, arachidic acid, behenic acid, lignoceric acid or mixtures thereof.

[0046] Examples of suitable unsaturated carboxylic acid compounds include undecylenic acid, myristoleic acid, palmitoleic acid, oleic acid, gadoleic acid, elaidic acid, cis-eicosenoic acid, erucic acid, nervonic acid, 2,4-hexadienoic acid, linoleic acid, 12-hydroxy tetradecanoic acid, 10-hydroxy tetradecanoic acid, 12-hydroxy hexadecanoic acid, 8-hydroxy hexadecanoic acid, 12-hydroxy icosanic acid, 16-hydroxy icosanic acid 11,14-eicosadienoic acid, linolenic

acid, cis-8,11,14-eicosatrienoic acid, arachidonic acid, cis-5,8,11,14,17-eicosapentenoic acid, cis-4,7,10,13,16,19-docosahexenoic acid, all-trans-retinoic acid, ricinoleic acid lauroleic acid, eleostearic acid, licanic acid, citronelic acid, nervonic acid, abietic acid, and abscisic acid. Most preferred acids are palmitoleic acid, oleic acid, linoleic acid, linolenic acid, licanic acid, eleostearic acid or mixtures thereof.

[0047] The polycarboxylic acid, especially dicarboxylic acids is present in a complex grease and suitable examples include iso-octanedioic acid, octanedioic acid, nonanedioic acid (azelaic acid), decanedioic acid (sebacic acid), undecanedioic acid, dodecanedioic acid, tridecanedioic acid, tetradecanedioic acid, pentadecanoic acid or mixtures thereof. In one embodiment the polycarboxylic acid is nonanedioic acid (azelaic acid) or mixtures thereof. In one embodiment the polycarboxylic acid is decanedioic acid (sebacic acid) or mixtures thereof.

[0048] The amount of carboxylic acid present in the invention includes those in the range from about 0 wt % to about 30 wt %, in another embodiment about 0.1 wt % to about 25 wt %, in another embodiment about 0.5 wt % to about 20 wt %, in another embodiment about 1 wt % to about 17 wt %, and in yet another embodiment about 3 wt % to about 13 wt % of the grease composition.

#### Other Performance Additive

[0049] When the composition of the invention contains the carboxylic acid (i.e. forms a grease), the composition optionally further includes at least one other performance additive. The other performance additive compounds include a metal deactivator, a detergent, a dispersant, an antiwear agent, an antioxidant, a corrosion inhibitor, a foam inhibitor, a demulsifiers, a pour point depressant, a seal swelling agent or mixtures thereof.

[0050] The total combined amount of the other performance additive compounds present on an oil free basis in ranges from about 0 wt % to about 25 wt %, in another embodiment about 0.01 wt % to about 20 wt %, in another embodiment about 0.04 wt % to about 15 wt % and in yet another embodiment about 0.06 wt % to about 10 wt % of the composition. Although one or more of

the other performance additives may be present, it is common for the other performance additives to be present in different amounts relative to each other.

Process

[0051] The invention further provides a process for preparing a composition  
5 comprising the steps of:

(1) mixing (a) a metal base; (b) a surfactant and (c) a organic medium containing less than about 2 wt % of water to form a slurry;

(2) grinding the slurry of step (1) to form a dispersion;

10 (3) optionally heating the dispersion of step (2) to a temperature to about 40°C to about 190°C to form a finer dispersion;

(4) optionally reacting the dispersion of steps (2) or (3) with a carboxylic acid containing about 2 to about 30 carbon atoms, wherein the carboxylic acid is a monocarboxylic acid, a polycarboxylic acid or mixtures thereof, and optionally the carboxylic acid is further substituted with groups selected from a hydroxyl group, an ester and mixtures thereof.  
15

[0052] In one embodiment the composition of the invention is obtainable by the process defined above. In one embodiment the process defined above is capable of preparing a dispersion with a metal base selected from the group consisting of: (i) a metal hydroxide with a solids content of greater than about 51 wt % of the composition; (ii) a metal base other than a metal hydroxide with a solids content of greater than about 15 wt % of the composition; and (iii) mixtures thereof. Generally the process of the invention is capable of preparing a dispersion with a solids content from about 1 wt % to about 90 wt %, in another embodiment about 15 wt % to about 86 wt %, in another embodiment about 15 wt % to about 84 wt %, an in yet another embodiment about 35 wt % to about 70 wt %.

[0053] Components (a)-(c) often form a dispersion before the optional addition of the carboxylic acid. Components (a)-(c) in step (1) are mixed sequentially and/or separately to form the slurry. The mixing conditions include for a period of time in the range about 30 seconds to about 48 hours, in another embodiment about 2 minutes to about 24 hours, in another embodiment about 5 minutes to about 16 hours and in yet another embodiment about 10

minutes to about 5 hours; and at pressures in the range including about 86 kPa to about 500 kPa (about 650 mm Hg to about 3750 mm Hg), in another embodiment about 86 kPa to about 266 kPa (about 650 mm Hg to about 2000 mm Hg), in another embodiment about 91 kPa to about 200 kPa (about 690 mm  
5 Hg to about 1500 mm Hg), and in yet another embodiment about 95 kPa to about 133 kPa (about 715 mm Hg to about 1000 mm Hg); and at a temperature including about 15°C to about 70°C, and in another embodiment about 25°C to about 70°C. In one embodiment the process does not require a free fatty acid such as oleic acid, naphthenic acid or a 50/50 mixture of said free fatty acid to  
10 be added to prior to grinding.

[0054] In step (2) the grinding includes any type of reduction of particle size of the metal base by mechanical means. The grinding typically produces enough shear to break agglomerates of the metal base, aggregates of the metal base, solid particles of the metal base or mixtures thereof. The grinding  
15 typically produces heat and therefore as a result it is desirable to control the heating by using cooling equipment.

[0055] Examples of suitable grinding procedure include a rotor stator mixer, a vertical bead mill, a horizontal bead mill, basket milling, pearl milling or mixtures thereof. In one embodiment the grinding procedure is the use of the  
20 vertical bead mill and in another embodiment the horizontal bead mill. Either bead mill processes cause the reduction of particle size of the metal base by high energy collisions of the metal base with at least one bead; and/or other metal base agglomerates, aggregates, solid particles; or mixtures thereof. The beads typically have a mean particle size greater than the desired mean particle  
25 size of the metal base. In some instances the beads are a mixture of different mean particle size.

[0056] The mill typically contains beads present at least about 40 vol % of the mill, in another embodiment at least about 60 vol % of the mill and in yet another embodiment at least about 70 vol % of the mill, for example, 75 vol %  
30 to about 85 vol %.

[0057] Optional step (3) may be performed if the grinding step produces a metal base with a mean particle size above about 0.3 micrometres, in another

embodiment about 1 micrometre, in another embodiment above about 3 micrometres, in another embodiment above about 4 micrometres, in another embodiment above about 5 micrometres and in yet another embodiment above about 6 micrometres.

5 [0058] The heating temperature of step (3) includes about 40°C to about 190°C, in another embodiment about 45°C to about 140°C, in another embodiment about 50°C to about 110°C and in yet another embodiment about 60°C to about 102°C. Optionally, step (3) further includes grinding during and/or after heating.

10 [0059] Optional step (4) is well known and includes all known process of preparing a grease. Examples of suitable reaction temperatures used include about 80°C to about 250°C, in another embodiment about 80°C to about 240°C, in another embodiment about 90 to about 210°C, in another embodiment about 110°C to about 190°C and in yet another embodiment 120°C to about 170°C. In 15 one embodiment the reaction temperature is in the range of about 90°C to about 240°C. In one embodiment the reaction temperature is in the range of about 110°C to about 230°C. In one embodiment the reaction temperature is in the range of about 120°C to about 225°C.

[0060] The process optionally includes mixing other optional performance 20 additives as described above. The optional performance additives may be added sequentially, separately or as a concentrate.

[0061] Said process of producing a grease composition wherein the process includes either a batch, semi continuous, continuous or a non-batch process. In one embodiment the grease composition is prepared using non-batch and in 25 another embodiment by a semi continuous processes.

#### Industrial Application

[0062] The composition of the present invention is useful in manufacture of grease. Examples of suitable grease include a lithium soap grease made with a monocarboxylic acid, a complex soap grease, a lithium complex soap grease, a 30 calcium soap grease, a low noise soap grease are (sometimes characterised by the lack of residual metal base particles above about 2 micrometres in diameter); a short fibre high soap content grease or mixtures thereof. In one

embodiment the grease includes a lithium soap grease, in another embodiment a complex soap grease, in another embodiment a lithium complex soap grease, in another embodiment a low noise soap grease and in yet another embodiment a short fibre high soap content grease.

5 [0063] The low noise grease is known and typically used in rolling element bearing applications such as pumps or compressors. The complex soap grease is known and include smooth or show grain. Furthermore, the complex grease contains a polycarboxylic acid typically a dicarboxylic acid. The short fibre high soap content grease is known and is often used in specialist applications.

10 [0064] When the composition of the invention is applied in an industrial application it is present in the ranges including about 0.01 to about 40 wt %, in another embodiment about 0.1 to about 30 wt % and in yet another embodiment about 0.5 to about 20 wt %.

[0065] The following examples provide an illustration of the invention.  
15 These examples are non exhaustive and are not intended to limit the scope of the invention.

#### EXAMPLES

##### Example 1: Preparation of LiOH.H<sub>2</sub>O Dispersion Containing 22.4 % Solids

[0066] A slurry weighing about 300g of was prepared by mixing about 22.4  
20 wt % of LiOH.H<sub>2</sub>O; about 9.6 wt % (on oil free basis) of a polyisobutylene-dihydro-2,5-furandione ester with pentaerythritol surfactant; and about 68 wt % of an API Group II 100N base oil. A dispersion of the slurry was prepared by grinding using a vertical bead mill until the particle size of the metal base was sub-micron (i.e.  $\leq 1 \mu\text{m}$ ). The resulting dispersion has a mean particle size as  
25 determined by Coulter® LS230 Particle Size Analyser of about 0.18  $\mu\text{m}$ .

##### Example 2: Preparation of LiOH.H<sub>2</sub>O Dispersion Containing 14.2 % Solids

[0067] The process is similar to Example 1, except about 22.4 % of LiOH.H<sub>2</sub>O is used and the grinding procedure produces LiOH.H<sub>2</sub>O with a mean particle size of about 6.55  $\mu\text{m}$ . The dispersion was then heated to about 90°C for  
30 about 3 hours. After the dispersion cooled the mean particle size was determined to be about 0.51  $\mu\text{m}$ .

Example 3: Preparation of LiOH.H<sub>2</sub>O Dispersion Containing 22.4 % Solids

[0068] The process is the same as Example 1, except base oil is 330SN present at about 64.5 %, the surfactant is a polyisobutylene succinic acid with a molecular weight in range 851-1600 present at about 13.1 % (on oil free basis); 5 and the dispersion mean particle size of about 5.5 μm.

Example 4: Preparation of LiOH.H<sub>2</sub>O Dispersion Containing 60 % Solids

[0069] The process is the same as Example 1, except the surfactant is a C<sub>12</sub>-C<sub>15</sub> alkyl benzene sulphonic acid surfactant present at about 5.9 % (on an oil free basis); and the API Group II 100N base oil is replaced with about 34.1 % 10 of PAO-6. The dispersion has a mean particle size of about 6.3 μm.

Example 5: Preparation of Li<sub>2</sub>CO<sub>3</sub> Dispersion Containing 33.7 % Solids

[0070] The process is the same as Example 1, except about 33.7 % of lithium carbonate is used, the surfactant is present at 8.2 % (on oil free basis) and the base oil is present at about 58.1 %. The dispersion has a mean particle 15 size of about 2 μm.

Example 6: Preparation of CaO Dispersion Containing 60 % Solids

[0071] The process is the same as Example 1, except about 60 % of calcium oxide; about 4.6 % (on an oil free basis) of a C<sub>12</sub>-C<sub>15</sub> alkyl benzene sulphonic acid surfactant; and about 35.4 % of an API Group II 100N base oil were used. 20 The dispersion has a mean particle size of about 1.5 μm and viscosity is about 0.5 Pa s at 100 s<sup>-1</sup>.

Example 7: Preparation of Ca(OH)<sub>2</sub> Dispersion Containing 50 % Solids

[0072] An industrial size horizontal bead mill was used to prepare a 46 kg dispersion containing about 50 % calcium hydroxide, about 11.6 % (on oil free 25 basis) of a C<sub>12</sub>-C<sub>15</sub> alkyl benzene sulphonic acid surfactant and base oil present at about 38.4 %. The bead mill had a rotor tip speed varied between about 5-20 ms<sup>-1</sup>.

Example 8: Preparation of MgO Dispersion Containing 50 % Solids

[0073] The process is the same as Example 7, except the bead mill was used 30 to prepare about 60 kg, about 50 % of magnesium oxide; about 7.3 % of a surfactant derived from a polyisobutylene succinic acid reacted with ethylene

glycol and 2-(dimethylamine)ethanol (on oil free basis); and about 42.6 % of a petroleum naphtha.

Example 9: Preparation of MgO Dispersion Containing 50 % Solids

[0074] The process is the same as Example 1, except about 44 %  
5 magnesium oxide, about 46.3 % of a petroleum naphtha and about 3.7 % of a surfactant derived from a polyisobutylene succinic acid reacted with ethylene glycol and 2-dimethylaminoethanol (on oil free basis). The viscosity is about 0.05 Pa s<sup>-1</sup> at 40°C at a shear rate of 100 s<sup>-1</sup>.

Example 10: Preparation of Cerium Oxide Dispersion Containing 20 % Solids

10 [0075] The process is the same as Example 1, except about 20 % of cerium oxide about 79.7 % of a petroleum naphtha and about 0.3 % of a surfactant derived from a polyisobutylene succinic acid reacted with ethylene glycol and 2-dimethylaminoethanol (on oil free basis).

Example 11: Preparation of Iron Oxide Dispersion Containing 20 % Solids

15 [0076] The process is the same as Example 10, except the metal base is Fe<sub>2</sub>O<sub>3</sub>.

Example 12: Preparation of Grease

[0077] A grease was prepared by mixing in a vessel containing about 9.8 wt% of 12-hydroxystearic acid into about 83.8 wt % of 600N base oil and  
20 heating to about 80°C to melt the 12-hydroxystearic acid. The vessel and contents were cooled to about 50°C before adding about 6.4 wt % of the product of Example 3. The vessel contents were then stirred forming a grease like material. The grease like material was then heated to about 150°C and held for about 1 hour. The grease was then cooled to about 120°C.

25 Example 13 Preparation of Grease with NLGI Consistency of 1

[0078] The process is the same as Example 12, except the grease is then milled through a triple roller. The resultant grease had a dropping point of 203°C.

Example 14: Preparation of Grease with NLGI Consistency of 2-3

30 [0079] The process is the same as Example 13, except the grease like material was heated to about 195°C instead of 150°C. The resultant grease had a dropping point of 204°C.

[0080] While the invention has been explained, it is to be understood that various modifications thereof will become apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications as fall within  
5 the scope of the appended claims.

3345

What is claimed is:

1. A composition comprising a dispersion of:

5 (a) a metal base selected from the group consisting of:

- (i) a metal hydroxide;
- (ii) a metal base other than a metal hydroxide; and
- (iii) mixtures thereof;

(b) a surfactant; and

10 (c) an organic medium containing less than about 2 wt % of water wherein said metal base is present at a solids content greater than about 51 wt % of the composition when said metal base is a metal hydroxide and at a solids content of greater than 15 wt % when said metal base is other than a metal hydroxide or is a mixture.

15

2. The composition of claim 1, further comprising a carboxylic acid containing about 2 to about 30 carbon atoms, wherein the carboxylic acid is selected from a monocarboxylic acid, a polycarboxylic acid and mixtures thereof, and optionally the carboxylic acid is further substituted with groups selected  
20 from a hydroxyl group, an ester and mixtures thereof.

3. The composition of claim 1, wherein the metal base is anhydrous lithium hydroxide, lithium hydroxide monohydrate, magnesium hydroxide, calcium hydroxide, lithium carbonate, calcium carbonate, copper acetate,  
25 magnesium carbonate, calcium oxide, magnesium oxide, lithium oxide, cerium oxide, iron oxide or mixtures thereof.

4. The composition of claim 1, wherein the surfactant has a hydrophilic lipophilic balance of about 2 to about 16.

30

5. The composition of claim 1, wherein the organic medium containing less than about 2 wt % of water is an oil of lubricating viscosity, a liquid fuel, a hydrocarbon solvent or mixtures thereof.

5        6. A process for preparing a composition comprising the steps of:

(1) mixing (a) a metal base; (b) a surfactant and (c) an organic medium containing less than about 2 wt % of water to form a slurry;

(2) grinding the slurry of step (1) to form a dispersion;

10      (3) optionally heating the dispersion of step (2) to a temperature to about 40°C to about 190°C to form a dispersion;

(4) optionally reacting the dispersion of steps (2)-(3) with a carboxylic acid containing about 2 to about 30 carbon atoms, wherein the carboxylic acid is a monocarboxylic acid, a polycarboxylic acid or mixtures thereof, and optionally the carboxylic acid is further substituted with groups selected from a hydroxyl group, an ester and mixtures thereof.

7. The process of claim 6, wherein grinding procedure is by a rotor stator mixer, a vertical bead mill, a horizontal bead mill, basket milling, pearl milling or mixtures thereof.

20

8. The process of claim 6, further comprising heating the dispersion of step (2) to a temperature to about 40°C to about 190°C to form a finer dispersion.

9. The process of claim 6 wherein the dispersion has a solids content  
25      from about 15 wt % to about 84 wt %.

ABSTRACT OF THE DISCLOSURE

The present invention provides a dispersion composition containing (a) a metal base selected from the group consisting of: (i) a metal hydroxide with a 5 solids content of greater than about 51 wt % of the composition; (ii) a metal base other than a metal hydroxide with a solids content of greater than about 15 wt % of the composition; and (iii) mixtures thereof; (b) a surfactant; and (c) an organic medium containing less than about 2 wt % of water. The invention further provides a process for preparing the composition and a method for its use.